DEPARTMENT OF TRANSPORTATION

ADMINISTRATION DIVISION OF PROCUREMENT AND CONTRACTS 3337 Michelson Drive, Suite 380 Irvine CA 92612-8894 PHONE (949) 756-7808 FAX (949) 724-2707 INTERNET http://caltrans-opac.ca.gov



INVITATION FOR BID (IFB) IFB # 02a0630 Notice to Prospective Contractors

You are invited to review and respond to this Invitation for Bid (IFB), entitled (IFB Number 02a0630 Handyman Service). In submitting your bid, you must comply with the instructions found herein.

Note that all contracts entered into with the State of California, Department of Transportation (State) will include, by reference, General Terms and Conditions and Contractor Certification Clauses that may be viewed and downloaded at Internet site http://www.ols.dgs.ca.gov/Standard+Language. If you do not have Internet access, a hard copy can be provided by faxing your request to fax number (949)724-2707.

This internet bid package does not contain Attachment 2, Special Crews Territory Facilities Map, sheet 2 of 2, which can be obtained by faxing your request to fax number (949)724-2707 and requesting map only.

In the opinion of the State, this IFB is complete and without need of explanation. However, if you have questions, or should you need any clarifying information, the designated contact person for this IFB is:

Pauline Oka

<u>Department of Transportation</u>

Phone (949)724-2566

Fax (949)724-2707

Please note that no *verbal* information given will be binding upon the State unless such information is issued in writing as an official addendum.

Pauline Oka Contract Analyst

Table of Contents

SECTION
A) Purpose and Description of Service
B) Bid Requirements and Information
1. Time Schedule
2. Inclusive Costs
3. Small Business Preference
4. State Prevailing Wages
5. Recycle Certification
6. Contractor License
7. Insurance
8. Bid Submittal
9. Evaluation and Selection
10. Award and Protest
11. Standard Conditions of Service
C) Preference Programs
Small Business Preference
Attachments
1. Bid Proposal (ADM-1412)
2. Contractor Certification Clauses (CCC 304)
3. Bid/Bidder Certification Sheet
4. Required Attachment Check List
5. Sample Standard Agreement (STD 213):
Exhibit A, Scope of Work
Exhibit B, Budget Detail and Payment Provisions
Exhibit C, General Terms and Conditions
Exhibit D, Special Terms and Conditions
Exhibit E, Additional Provisions

A) Purpose and Description of Services

Refer to the Proposed Form of Agreement, which is attached to this IFB as Attachment 5 (Exhibit A) Scope of Work.

B) Bid Requirements and Information

1. Time Schedule

It is recognized that time is of the essence. All bidders are hereby advised of the following schedule and will be expected to adhere to the required dates and times.

EVENT	DATE TIME (Pacific Standard Time)
IFB available to prospective bidders	September 28, 2004
Final Date and Time for Bid Submission	October 19, 2004 2:15 p.m.
Bid Opening	October 19, 2004 2:30 p.m.
Proposed Start Date (estimate)	December 16, 2004

2. Inclusive Costs

Bids prices/rates shall include the cost of employer payments to or on behalf of employees, subsistence, travel, compensation insurance premiums, unemployment contributions, social security taxes, contract bond premiums, and any other taxes or assessments **INCLUDING SALES AND USE TAXES** required by law or otherwise and no additional allowance will be made thereof, unless separate payment provision should specifically so provide.

3. Small Business Preference: http://www.pd.dgs.ca.gov/smbus

Small business preference will be granted on this IFB. Only firms certified as a "Small Business" with the Office of Small Business Certification and Resources (formerly OSMB), in the categories most appropriate to accomplish the prescribed services, will be granted this preference.

4. State Prevailing Wages

State General Prevailing Wage Rates will apply for the County(ies) of Siskiyou, Tehama, and Shasta. Copies of the general prevailing wage rates may be obtained by contacting Angela Shell, Labor Compliance Officer, District 2, P.O. Box 911, Marysville, CA 95901, Phone (530)822-4314. Copies may also be obtained via the Internet: http://www.dir.ca.gov

5. Recycle Certification

Your signature affixed hereon and dated on the attached <u>Bid/Bidder Certification Sheet</u> shall signify that you are aware of the recycle materials, goods, and supplies program

requirements of California Public Contract Code Sections 12200, 12205, and 12161, and that the recycle content certification will be required for the successful contractor. The awarded bidder will be required to complete a Recycle Content Certification Form (ADM-2038) and provide the form with the signed agreement. An incomplete form or failure to provide a completed form will result in cancellation of the contract.

6. Contractor License

Bidder must have, at time of <u>bid submittal</u> and for the duration of the contract, a valid, current Class B General Building and/or applicable license issued by the California Contractor's State License Board, for the type of work to be performed. Bidder shall obtain, pay for and maintain, in good standing, all necessary licenses and permits to accomplish the work. Bidders will be considered non-responsive unless proper licensing requirements are met. An invalid license will result in rejection of the bid. Positive verification of a valid license issued by the California Contractor's State License Board will be performed by Caltrans (reference B&PC 7028.15).

7. Insurance

Insurance is required in this Agreement. The bidder who receives the award, must provide a certificate of Insurance providing proof of insurance to the DPAC within ten (10) working days from the date of notification of award. The State's Office of Risk and Insurance Management will review insurance certificates and/or proof of self-insurance documentation before execution of the Agreement. Refer to the Proposed Form of Agreement, **Exhibit E** for specific Insurance requirements.

8. Bid Submittal

a) All bids must be submitted in a sealed envelope and received by the Department of Transportation, Contract's Office by dates and times shown in Section B, Bid Requirements and Information, Item 1) Time Schedule. The sealed envelope must be clearly marked with the IFB number and title; must show your firm name and address, and must be marked with "BID SUBMITTAL - DO NOT OPEN", as shown in the following example:

IFB Number: 02a0630

IFB Name: Handyman Service

Firm Name: Firm Address:

BID SUBMITTAL - DO NOT OPEN

b) Bids not submitted in a clearly labeled sealed envelope may be rejected. A complete bid package (originals only) must be submitted. **Late bids will not be considered.**

- c) All bids shall include the documents identified in this IFB's **Attachment 4. Required Attachment Check List**. Bids not including the proper "required attachments" shall be deemed non-responsive. A non-responsive bid is one that does not meet the basic bid requirements.
- d) Only an individual who is authorized to bind the proposing firm contractually shall sign all documents requiring a signature, and each must bear an original signature.
- e) Mail or deliver bids to the following address:

U.S. Postal Service Deliveries	<u>Hand Deliveries</u> (UPS, Express Mail, Federal Express)
Department of Transportation, MS 150 Division of Procurement and Contracts 3337 Michelson Drive, Suite 380	Department of Transportation Division of Procurement and Contracts 3347 Michelson Drive, Suite 400
Irvine CA 92612-8894	Irvine CA 92612-8894

- f) Bid opening will be held at the above address at **2:30 PM** on the date stated in Section B, Bid Requirements and Information, 1 Time Schedule
- g) Bids must include the performance of all the services described herein. Any deviation from the work specifications will not be considered and will cause a bid to be rejected.
- h) A bid may be rejected if it is conditional or incomplete, or if it contains any alterations of form or other irregularities of any kind. The State may reject any or all bids and may waive any immaterial deviation in a bid. The State's waiver of immaterial defect shall in no way modify the IFB document or excuse the bidder from full compliance with all requirements, if awarded the agreement.
- i) Costs for developing bids and in anticipation of award of the contract are entirely the responsibility of the bidder and shall not be charged to the State.
- j) Only an individual who is authorized to bind the bidding firm contractually shall sign the attached <u>Bid/Bidder Certification Sheet</u>, **Attachment 3.** The signature must indicate the title or position that the individual holds in the firm. **An unsigned bid shall be rejected.**
- k) A bidder may modify a bid after its submission by withdrawing its original bid and resubmitting a new bid prior to the bid submittal deadline. Bidder modifications offered in any other manner, oral or written, will not be considered.
- I) A bidder may withdraw the bid by submitting a written withdrawal request to the State, signed by the bidder or an agent authorized in accordance with section h above. A bidder may thereafter submit a new bid prior to the bid submittal

deadline. Bids may not be withdrawn without cause subsequent to bid submittal deadline.

- m) The State may modify the IFB prior to the date fixed for submission of bids by the issuance of an addendum to all parties who received a bid package.
- n) The State reserves the right to reject any or all bids. If all bids are too high, the State is not required to award a contract.
- o) Bidders are cautioned to not rely on the State during the evaluation to discover and report to the bidder any defects and errors in the submitted documents. Bidders, before submitting their documents, should carefully proof them for errors and adherence to the IFB requirements.
- p) Where applicable, bidder should carefully examine work sites and specifications. Bidder shall investigate conditions, character, and quality of surface or subsurface materials or obstacles that might be encountered. No additions or increases to the contract amount will be made due to a lack of careful examination of work sites and specifications.

9. Evaluation and Selection

- a) At the time of bid opening, each bid will be checked for the presence or absence of required information in conformance with the submission requirements of this IFB.
- b) The State will put each bid through an evaluation process to determine its responsiveness to the State's needs.
- c) Bids that contain false or misleading statements, or which provide references, which do not support an attribute or condition claimed by the bidder, may be rejected. If, in the opinion of the State, such information was intended to mislead the State in its evaluation of the bid, and the attribute, condition, or capability is a requirement of this IFB, it will be the basis for rejection of the bid.
- d) The final selection will be made on the basis of the lowest responsible bid. The award of the contract, if awarded, will be to the lowest responsible bidder whose proposal complies with all of the requirements. In the event of a tie bid, the State will draw lots to determine the successful contractor. Only one proposal or bid may be submitted by an entity: individual, firm, partnership, corporation, joint venture or combination thereof. Receipt of more than one bid from an entity will result in all bids from that entity being rejected and returned to the bidder.

10. Award and Protest

a) Whenever a contract is awarded under a procedure that provides for competitive bidding, but the contract is not to be awarded to the low Bidder, the low Bidder

shall be notified by telegram, electronic facsimile transmission, overnight courier, or personal delivery five (5) working days prior to the award of the contract.

- b) Upon written request by any bidder, notice of the proposed award shall be posted in a public place in the office of the awarding agency at least five (5) working days prior to awarding the contract.
- c) Grounds for Filing a Protest: After the issuance of the applicable contract award notices as specified in this IFB, the right to protest the proposed award of a contract is afforded any bidder who claims he/she should have been awarded the agreement because he/she was the lowest responsible bidder meeting the specifications. The protest must be submitted to the Department of Transportation prior to the award of contract. In such case, the contract shall not be awarded until either the protest has been withdrawn or the Department of General Services has decided the matter.
- d) Filing an Initial Protest: Within five (5) days after filing the initial protest, the protesting bidder shall file with the Department of General Services and the Department of Transportation a full and complete written statement specifying the grounds for the protest. The written protest must be sent to the addresses below:

Department of Transportation
Division of Procurement & Contracts, MS 67

Attention: A.C. Lichtman, Protest and Dispute Manager 1727 30th Street

Sacramento, CA 95816

Phone Number: (916) 227-6084 Fax Number: (916) 227-6155

Department of General Services

Office of Legal Services
Attention: Protest Coordinator
707 Third Street, 7th Floor
West Sacramento, CA 95605
Phone Number: (916) 376-5080
Fax Number: (916) 376-5088

It is suggested that you submit any protest by certified or registered mail.

- e) Upon award of the contract, contractor must complete and submit to the Department of Transportation the Payee Data Record (STD 204), to determine if the contractor is subject to state income tax withholding pursuant to California Revenue and Taxation Code §18662 and §26131. This form can be found on the Internet at www.osp.dgs.ca.gov under the heading STANDARD FORMS. No payment shall be made unless a completed STD 204 has been returned to the Department of Transportation.
- f) Prior to the award of the contract, the awarded bidder(s) must sign and submit to the Department of Transportation, page one (1) of the Contractor Certification Clauses (CCC), Attachment 2, or this form can be obtained via the Internet at www.dgs.ca.gov/contracts. Bidder may also, as an option, submit with bid package.

11. Standard Conditions of Service

- a) Service shall not begin prior than the express date set by the Department of Transportation and the contractor, after all approvals have been obtained, and the agreement is fully executed. Should the contractor fail to commence work at the agreed upon time, the Department of Transportation, upon five (5) days written notice to the contractor, reserves the right to terminate the agreement. In addition, the contractor shall be liable to the State for the difference between contractor's bid price and the actual cost of performing work by the second lowest Bidder or by another contractor.
- b) All performance under the contract shall be completed on or before the termination date of the contract.
- c) The State does not accept alternate contract language from a bidder. A bid with such language will be considered a counter proposal and will be rejected. The State's General Terms and Conditions (GTC) are not negotiable. The GTC 304may be viewed at Internet site www.dgs.ca.gov/contracts.
- d) The bidder declares that the only persons or parties interested in this bid proposal as principals are those named herein; that this bid proposal is made without collusion with any other person, firm or corporation; and the bidder has carefully examined the Invitation for Bid (IFB), the Proposed Form of Agreement, and the special provisions herein referred to, and proposes and agrees, if this proposal is accepted, that the bidder will contract with the State to provide all necessary labor, materials, tools or equipment in the time and manner specified.
- e) The bid of any bidder who is currently in default with the Department of Transportation on a contract already awarded may be accepted; however, bidder understands that any costs associated with the default will be paid prior to award or deducted from the proceeds of any newly awarded contract.
- f) If the bidder is awarded the contract and refuses to sign the contract presented for signature within the time and manner required, the bidder will be liable to the Department of Transportation for actual damages resulting to the Department therefrom or 10% of the amount bid, whichever is less and bidder will be placed on a default status. Default is defined as (1) being within a period of liquidated damages on uncompleted work, or (2) under notice to begin or complete a contract where work has not commenced or was suspended without cause, or (3) where contract is terminated for contractor failing to perform services required by the contract in a satisfactory manner.
- g) After award of the contract and execution of the contract, should the contractor fail to commence work within five (5) working days after notification of the starting date, or suspend work for a period of five (5) continuous working days after work has begun, the State may provide five (5) calendar days written notice, posted at the

job site or mailed to the contractor, to timely prosecute and complete the work or the contract may be terminated and liquidated damages of \$500.00 assessed for administrative costs for re-bidding the work or awarding the work to another contractor. In addition, that contractor shall be liable to the State for the difference between the contractor's bid price and the actual cost of performing the work by the second low bidder or by another contractor.

h) No oral understanding or agreement shall be binding on either party.

C) Preference Programs

The following Preference Programs are applicable to this IFB.

1. Small Business or Microbusiness Preference

If prospective Contractor is claiming the 5% certified Small Business or microbusiness preference, complete Section 16, **Attachment 3** (Bid/Bidder Certification Sheet) and attach a copy of your certification.

Additional References: http://www.pd.dgs.ca.gov

Section 14835, et seq. of the California Government Code requires that a five-percent preference be given to contractors who qualify as a certified small business or microbusiness. The rules and regulations of this law, including the definition of a small business for the delivery of services, are contained in Title 2, California Code of Regulations, Section 1896, et seq. A copy of the regulations is available upon request. To claim the small business or microbusiness preference, which may not exceed \$50,000 for any bid, your firm must have its principal place of business located in California and be certified by the State Office of Small Business Certification and Resources. Questions regarding the certification approval process should be directed to that office at (800) 559-5529 or (916) 375-4940. For the 24-Hour Recording & Mail Request call (916) 322-5060.

BID PROPOSAL

ATTACHMENT 1

ADM-1412 (REV. 06/02) PAGE 1 OF 1

TRACTOR'S	S NAME (Please Print):		CONTRACT NO. 02a0630	PAGE	~ = .
ITEM NO.	ESTIMATED QUANTITY	UNIT OF MEASURE	ITEM	UNIT PRICE (In Figures)	OF 1 TOTAL (In Figures)
1.	2000	Hours	One (1) worker, one (1) truck, and equipment (mileage included)		
2.	1000	Hours	Additional worker		
3.	300	Hours	Overtime one (1) worker, one (1) truck, and equipment		
4.	200	Hours	Overtime additional worker		
			AS A BASIS FOR COMPARISON OF BIDS. NO THAT WILL BE NEEDED.		
				TOTAL THIS BID	
	F DISCREPANCY BETWEEN T PRICE SHALL PREVAIL.	THE UNIT PRICE AND THE	TOTAL SET FORTH FOR A UNIT BASIS ITEM,		

⁽³⁾ ANY BID MAY BE REJECTED IF IT IS UNREASONABLE AS TO PRICE.UNREASONABLENESS OF PRICE INCLUDES NOT ONLY THE TOTAL PRICE OF THE BID, BUT PRICES FOR INDIVIDUAL LINE ITEMS AS WELL.

ATTACHMENT 2 CONTRACTOR CERTIFICATION CLAUSES

CCC-304

CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed)		Federal ID Number
By (Authorized Signature)		
Printed Name and Title of Person Signing		
Date Executed	Executed in the County of	

CONTRACTOR CERTIFICATION CLAUSES

- 1. <u>STATEMENT OF COMPLIANCE</u>: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (GC 12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)
- 2. <u>DRUG-FREE WORKPLACE REQUIREMENTS</u>: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:
- a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
- b. Establish a Drug-Free Awareness Program to inform employees about:
- 1) the dangers of drug abuse in the workplace;
- 2) the person's or organization's policy of maintaining a drug-free workplace;
- 3) any available counseling, rehabilitation and employee assistance programs; and,
- 4) penalties that may be imposed upon employees for drug abuse violations.
- c. Every employee who works on the proposed Agreement will:
- 1) receive a copy of the company's drug-free workplace policy statement; and,
- 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (GC 8350 et seq.)

- 3. <u>NATIONAL LABOR RELATIONS BOARD CERTIFICATION</u>: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (PCC 10296) (Not applicable to public entities.)
- 4. <u>UNION ORGANIZING</u>: Contractor hereby certifies that no request for reimbursement, or payment under this agreement, will seek reimbursement for costs incurred to assist, promote or deter union organizing. (GC 16645-16649)
- 5. <u>CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT:</u> Contractor hereby certifies that contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

6. <u>EXPATRIATE CORPORATIONS</u>: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

7. SWEATFREE CODE OF CONDUCT:

- a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.
- b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations, or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).
 - 8. <u>DOMESTIC PARTNERS</u>: Commencing on July 1, 2004 Contractor certifies that it is in compliance with Public Contract Code Section 10295.1 with regard to benefits for domestic partners. For any contracts executed or amended, bid packages advertised or made available, or sealed bids received on or after July 1 2004 and prior to January 1, 2007, a contractor may require an employee to pay the costs of providing additional benefits that are offered to comply with PCC 10295.1.

DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. <u>CONFLICT OF INTEREST</u>: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (PCC 10410):

- 1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.
- 2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (PCC 10411):

- 1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.
- 2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (PCC 10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (PCC 10430 (e))

- 2. <u>LABOR CODE/WORKERS' COMPENSATION</u>: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)
- 3. <u>AMERICANS WITH DISABILITIES ACT</u>: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)
- CONTRACTOR NAME CHANGE: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

- a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.
- b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.
- c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.
- 6. <u>RESOLUTION</u>: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.
- 7. <u>AIR OR WATER POLLUTION VIOLATION</u>: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.
- 8. <u>PAYEE DATA RECORD FORM STD. 204:</u> This form must be completed by all contractors that are not another state agency or other government entity.

ATTACHMENT 3 BID/BIDDER CERTIFICATION SHEET

This Bid/Bidder Certification Sheet must be signed and returned along with all "required attachments" as an entire package in with <u>original signatures</u>. The bid must be transmitted in a sealed envelope in accordance with IFB instructions. Only an individual who is authorized to bind the bidding firm contractually shall sign the <u>Bid/Bidder Certification Sheet</u>. The signature must indicate the title or position that the individual holds in the firm.

- A. Our all-inclusive bid is submitted in a sealed envelope marked "Bid Submittal Do Not Open".
- B. All required attachments are included with this certification sheet.
- C. The signature affixed hereon and dated certifies compliance with all the requirements of this bid document. The signature below authorizes the verification of this certification.
- D. The signature and date affixed hereon certifies that this bid is a firm offer for a 90-day period.

An Unsigned Bid/Bidder Certification Sheet Shall Be Rejected

1. Company Name	2. Teleph	one Number	2a. Fax Number
			()
3. Address			
Indicate your organization type:	_		
4. Sole Proprietorship	5. Partner	ship	6. Corporation
Indicate the applicable employee and/or corporation	number:	•	
7. Federal Employee ID No. (FEIN)		8. California Corpo	oration No.
Indicate applicable license and/or certification inform	ation:		
Contractor's State Licensing	10. PUC Licer	nse Number	11. Required
Board Number	CAL-T-		
12. Proposer's Name (Print)		13. Title	
14. Signature		15. Date	
16. Are you certified with the Department of Genera	l Services, Off	ice of Small Busines	s Certification and
Resources (OSBCR) as:	İ		
a. Small Business Enterprise Yes No			Enterprise Yes No
If yes, enter certification number:	if yes, ent	er your service code	below:
NOTE: A copy of your Certification is required to be			s is checked "Yes".
Date application was submitted to OSRCP if an app	dication is none	ina:	

Completion Instructions for Bid/Bidder Certification Sheet

Complete the numbered items on the Bid/Bidder Certification Sheet by following the instructions below.

Item Numbers	Instructions		
1, 2, 2a, 3	Must be completed. These items are self-explanatory.		
4	Check if your firm is a sole proprietorship. A sole proprietorship is a form of business in which one person owns all the assets of the business in contrast to a partnership and corporation. The sole proprietor is solely liable for all the debts of the business.		
5	Check if your firm is a partnership. A partnership is a voluntary agreement between two or more competent persons to place their money, effects, labor, and skill, or some or all of them in lawful commerce or business, with the understanding that there shall be a proportional sharing of the profits and losses between them. An association of two or more persons to carry on, as co-owners, a business for profit.		
6	Check if your firm is a corporation. A corporation is an artificial person or legal entity created by or under the authority of the laws of a state or nation, composed, in some rare instances, of a single person and his successors, being the incumbents of a particular office, but ordinarily consisting of an association of numerous individuals.		
7	Enter your federal employee tax identification number.		
8	Enter your corporation number assigned by the California Secretary of State's Office. This information is used for checking if a corporation is in good standing and qualified to conduct business in California.		
9	Complete if your firm holds a California contractor's license. This information will used to verify possession of a contractor's license for public works agreements.		
10	Complete if your firm holds a PUC license. This information will be used to verify possession of a PUC license for public works agreements.		
11	Complete, if applicable, by indicating the type of license and/or certification that your firm possesses and that is required for the type of services being procured.		
12, 13, 14, 15	Must be completed. These items are self-explanatory.		
16	If certified as a Small Business Enterprise, place a check in the "yes" box, and enter your certification number on the line. If certified as a Disabled Veterans Business Enterprise, place a check in the "Yes" box and enter your service code on the line. If you are not certified to one or both, place a check in the "No" box. If your certification is pending, enter the date your application was submitted to OSBCR.		

ATTACHMENT 4 Invitation for Bid (02a0630)

ATTACHMENT CHECK LIST

A complete bid or bid package will consist of the items identified below.

Complete this checklist to confirm the items in your bid package. Place a check mark or "X" next to each item that you are submitting to the State. For your bid to be responsive, all required attachments identified below (unless noted otherwise) must be returned as instructed. **Return this checklist with your bid package.**

<u>Attachments</u>	Attachment Name/Description
Attachment 1	Bid Proposal (ADM-1412)
Attachment 2	Contractor Certification Clauses (CCC 304) The CCC 304 can also be found on the Internet at http://www.dgs.ca.gov/contracts. Page one (1) must be signed and submitted prior to the award of the contract.
Attachment 3	Bid/Bidder Certification Sheet
Attachment 4	Attachment Check List

Note to Contractors:

The following pages represent a sample of the Proposed Form of Agreement that is intended to be awarded from this IFB. Please review it carefully and present any questions in writing (as instructed in this solicitation) to the contact person identified for this IFB.

STATE OF CALIFORNIA STANDARD AGREEMENT

STD 213 (Rev 09/01)

ATTACHMENT # 5 Sample Standard Agreement

AGREEMENT NUMBER
02a0630
REGISTRATION NUMBER

1.	. This Agreement is entered into between the State Agency and the Contractor named below:		
	STATE AGENCY'S NAME		
	Department of Transportation		
-	CONTRACTOR'S NAME		
2.	The term of this December 16, 2004 through December 15, 2006 Agreement is:		
3.	The maximum amount \$		
	of this Agreement is:		
	The parties agree to comply with the terms and conditions of the following exhibits/attachments which are by t reference made a part of the Agreement.	his	
	Exhibit A – Scope of Work	3 Pages	
	Exhibit B – Budget Detail and Payment Provisions	9 Pages	
	Exhibit C* – General Terms and Conditions (Electronic File: GTC 304)		
	Exhibit D - Special Terms and Conditions	4 Pages	
	Exhibit E – Additional Provisions	2 Pages	
	Attachment 1 - Bid Proposal	1 Page	
	Attachment 2 - Special Crews Territory Facilities and Map	2 Pages	
	Attachment 3 – Task Order	1 Page	

Items shown with an Asterisk (*), are hereby incorporated by reference and made part of this Agreement as if attached hereto. *These documents can be viewed at* http://www.ols.dgs.ca.gov/Standard+Language/default.htm

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR	California Department of	
CONTRACTOR'S NAME (if other than an individual, state whether a corporation, partnership, etc.)		General Services Use Only
BY (Authorized Signature)	DATE SIGNED (Do not type)	
PRINTED NAME AND TITLE OF PERSON SIGNING		
ADDRESS		
STATE OF CALIFORNIA		
AGENCY NAME		
Department of Transportation		
BY (Authorized Signature)	DATE SIGNED (Do not type)	
<u> </u>		
PRINTED NAME AND TITLE OF PERSON SIGNING		Exempt per:
Evelvn Collado. Contract Officer		
ADDRESS		
3337 Michelson Drive, Suite 380		
Irvine CA 92612-8894		

SCOPE OF WORK

- **1.** Contractor agrees to provide on call Handyman service to the Department of Transportation (Caltrans/State) as described herein:
 - A. The Contractor shall provide all labor, materials, tools, equipment, and incidentals to service, repair, maintain, and/or perform alterations.
 - B. The services shall be performed at Caltrans owned facilities, property, and structures, in various locations throughout the Special Crews territory in the counties of Siskiyou, Tehama, and Shasta.
 - C. All services shall be initiated only through fully executed Task Orders adopting the terms of this Agreement.
- 2. This Agreement shall begin on December 16, 2004, contingent upon approval by the State, and expire on December 15, 2006, unless extended by amendment. The services shall be provided during normal working hours, determined to be between 7:30 a.m. and 4:00 p.m. Monday through Friday, excluding legal holidays, unless directed otherwise.

When the Contractor is ordered by Caltrans to work overtime on a Task Order in any full week, Sunday through Saturday, an additional payment will be made to the Contractor for labor at the actual overtime rate bid. Overtime is defined as time worked in excess of 40 hours during any week. Holidays are those officially recognized by the State.

3. The project representatives and all inquiries during the term of this Agreement will be directed to:

Department of Transportation	Contractor:
District 2 Maintenance	
Contract Manager: Danny Ledbetter	Project Manager:
Address: 5065 Mountain Lakes Blvd.	Address:
Redding CA 96003	
Bus. Phone No.: (530)225-3450	Bus. Phone No.:
Fax No: (530)225-3024	Fax No:

- **4.** Detailed description of work to be performed and duties of all parties:
 - A. Contractor shall furnish by Task Order (See Attachment 3), all labor, materials, tools, equipment, and incidentals for the servicing, repair, and alteration of State owned properties and facilities that may include, but is not limited to,

maintenance stations, sand storage buildings, and roadside rest areas, on an asneeded basis, at various locations throughout District 2 Special Crews Territory as listed on the map identified as Attachment 2.

- B. When requested, the Contractor shall submit a work plan to the Contract Manager for approval for each proposed Task Order repair prior to starting work. The work plan shall include the estimated number of workers, labor cost, mileage cost, and equipment/materials costs required to complete the proposed repair work. If more than one worker is authorized by the Contract Manager, each additional worker shall be paid for at the hourly bid rate specified in Attachment 1, Bid Proposal.
- C. The type of work shall include but is not limited to service, repair, and alteration of roofs, floors, walls, doors, and windows, vehicle wash rack facilities, toilet and sprinkler valves, and vehicle fueling facilities. Work could at times involve three (3) or more trades, including, but not limited to, carpentry, painting, plumbing, mechanical, electrical, and concrete trades.
- D. The Contractor shall respond promptly to calls for repairs. The State recognizes the Contractor's need to schedule work in the order in which it is received. However, undue delay in responding to or completing State work shall be cause for cancellation of this Agreement. Contractor shall start work not more than 5 working days after notification of needed repairs in the form of an executed Task Order.
- E. Major repair to any specific State property will not be made under this Agreement. Major repairs are defined as those costing \$15,000.00 or more. The Contractor shall notify the Contract Manager prior to beginning any repair that the Contractor estimates will exceed \$15,000.00 in cost. The State will make the sole determination as to whether or not proposed repairs constitute a major repair.
- F. The Contractor shall furnish a one year warranty on parts and workmanship. Said warranty will not cover failures resulting from willful misuse or negligence on the part of the State or any of its employees.
- G. The term "wages" as used herein shall include any employer payments to or on behalf of the workpeople for health and welfare, pension, vacation, and similar purposes.

5. Task Orders

A. Services under this Agreement will be requested through the issuance of a Task Order (See Attachment 3) for specific work at any one property. Each Task Order

is a separate contract adopting all of the terms and conditions of this Agreement. All provisions of this Agreement will apply to all separate Task Orders. Task Orders are site specific, work quantified, and time constrained. The Contract Manager will be responsible for the issuance and execution of Task Orders.

B. Specific work requested by Task Order (Attachment 3) must list the following:

Encumbrance document no. Requester's name

Date service required Contract Manager's approval

Date of request Unit number

Cost estimate Location of service needed (Co./Rte./P.M.)

C. Two (2) or more Task Orders shall not be issued to split services at any one site or property to avoid a separate contract process for work estimated to exceed the \$15,000.00 Task Order cost limit established herein.

BUDGET DETAIL AND PAYMENT PROVISIONS

1. Invoicing and Payment

- A. For services satisfactorily rendered, and upon receipt and approval of the itemized invoice(s), the State agrees to compensate the Contractor for actual expenditures incurred in accordance with the rates specified herein, which is attached hereto, Attachment 1, and made a part of this Agreement.
- B. The Contractor shall submit a certified copy of all payroll records for verification by the Department's Contract Manager and/or Designee with the Contractor's invoices within ten (10) days, not including Saturdays, Sundays or legal holidays, following completion of the work to the Contract Manager. When progress payments are called for, the Contractor shall submit a certified copy of all payroll records for verification for the work completed to date along with their invoices for verification by the District Labor Compliance Office. Delinquent payrolls or other required documents will cause payment to be withheld pending receipt of such documents.
- C. Itemized invoices shall include this Agreement Number and shall be signed and submitted in triplicate not more frequently than monthly in arrears to:

Department of Transportation District 2 Maintenance Attention: Danny Ledbetter 5065 Mountain Lakes Blvd. Redding CA 96003

D. The State will honor cash discounts and will make payment to the Contractor in accordance with the cash discount terms specified on the invoice, provided requirements of the Agreement have been met. Discount must be a minimum of one half of 1% of the amount due, but not less than \$25.00.

2. Budget Contingency Clause

- A. It is mutually understood between the parties that this Agreement may have been written before ascertaining the availability of congressional or legislative appropriation of funds, for the mutual benefit of both parties in order to avoid program and fiscal delays that would occur if the Agreement were executed after that determination was made.
- B. This Agreement is valid and enforceable only if sufficient funds are made available to the State by the United States Government or the California State Legislature for the purpose of this program. In addition, this Agreement is subject to any additional

restrictions, limitations, conditions, or any statute enacted by the Congress or the State Legislature that may affect the provisions, terms or funding of this Agreement in any manner.

- C. It is mutually agreed that if the Congress or the State Legislature does not appropriate sufficient funds for the program, this Agreement shall be amended to reflect any reduction in funds.
- D. The State has the option to void the Agreement under the 30-day termination clause or to amend the Agreement to reflect any reduction of funds.

3. Prompt Payment Clause

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with Section 927.

4. Cost Limitation

- A. Total amount of this Agreement shall not exceed (Agreement amount).
- B. It is understood and agreed that this total is an estimate and that the State will pay only for those services actually rendered as authorized by the contract manager or his/her designee.

5. Rates

- A. Rates for these services may be found on Attachment 1 of this document.
- B. The hourly rate bid for all shall include full compensation for furnishing one worker, necessary hand tools, travel, mileage, vehicle, and incidentals to complete the work. Hand tools are defined as tools such as wrenches, shovels, hammers, high pressure washer, wood saws, drills, airless paint sprayer, and portable air compressor with air tools.

6. Materials/Supplies

The Contractor will be reimbursed for the actual cost of materials/supplies purchased to be consumed or installed at the work site in performance of the Agreement (including applicable sales tax), without additional allowance for markup. Contractor costs associated with the purchase and installation of materials/supplies are considered as a component of the Contractor's hourly rate for services that include wages, overhead, general and administrative expenses and profit. Cost of materials/supplies are to be substantiated by a copy of the appropriately signed

invoice verifying the actual cost and delivery of the replaced components to the Department.

7. Excise Tax

The State of California is exempt from federal excise taxes, and no payment will be made for any taxes levied on employees' wages. The State will pay for any applicable State of California or local sales or use taxes on the services rendered or equipment or parts supplied pursuant to this Agreement. California may pay any applicable sales and use tax imposed by another state.

8. Inclusive Costs

A. The cost of employer payments to or on behalf of employees, subsistence, travel, compensation insurance premiums, unemployment contributions, social security taxes, Agreement bond premiums, and any other taxes or assessments INCLUDING SALES AND USE TAXES required by law or otherwise shall be included in the Agreement rates and no additional allowance will be made thereof, unless separate payment provision should specifically so provide.

9. Payroll Records

- A. The Contractor and each Subcontractor shall comply with the following provisions. The Contractor shall be responsible for compliance by his/her subcontractors.
 - 1.) Each Contractor and Subcontractor shall keep accurate payroll records and supporting documents as mandated by Section 1776 of the California Labor Code and as defined in Section 16000 of Title 8 of the California Code of Regulations, showing the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by the Contractor or Subcontractor in connection with the public work. Each payroll record shall contain or be verified by a written declaration that it is made under penalty of perjury, stating both of the following:
 - a.) The information contained in the payroll record is true and correct.
 - b.) The employer has complied with the requirements of Sections 1771, 1811, and 1815 for any work performed by his or her employees on the public works project.

- 2.) The payroll records, enumerated under paragraph (1) above, shall be certified and shall be available for inspection at all reasonable hours at the principal office of the Contractor on the following basis:
 - a.) A certified copy of an employee's payroll record shall be made available for inspection or furnished to the employee or the employee's authorized representative on request.
 - b.) A certified copy of all payroll records enumerated in paragraph (1) above, shall be made available for inspection or furnished upon request to a representative of the Department, the Division of Labor Standards Enforcement and the Division of Apprenticeship Standards of the Department of Industrial Relations.
 - c.) A certified copy of all payroll records, enumerated in paragraph 1 above, shall be made available upon request by the public for inspection or copies thereof made; provided, however, that a request by the public shall be made through the Department. If the requested payroll records have not been provided pursuant to paragraph (b), the requesting party shall, prior to being provided the records, reimburse the costs of copy preparation by the Contractor, Subcontractors, and the Department. The public shall not be given access to the records at the principal office of the Contractor.
- 3.) Each Contractor shall file a certified copy of the records enumerated in paragraph (1) above, with the entity that requested the records within ten (10) days after receipt of a written request.
- 4.) Any copy of records made available for inspection as copies and furnished upon request to the public or any public agency by the Department shall be marked or obliterated in such a manner as to prevent disclosure of each individual's name, address and social security number. The name and address of the Contractor awarded the Agreement or performing the Agreement shall not be marked or obliterated.
- 5.) The Contractor shall inform the body awarding the Agreement of the location of the records enumerated under paragraph (1) above, including the street address, city and county, and shall, within five working days, provide a notice of a change of location and address.
- 6.) The Contractor or Subcontractor shall have ten (10) days in which to comply subsequent to receipt of written notice requesting the records enumerated in paragraph (1) above. In the event the Contractor or Subcontractor fails to comply within the ten-day period, he or she shall, as a penalty to the

Department, forfeit twenty-five dollars (\$25) for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated. Such penalties shall be withheld by the Department from payments then due. A contractor is not subject to a penalty assessment pursuant to this section due to the failure of a subcontractor to comply with this section.

- B. The penalties specified in paragraph 6 above for noncompliance with the provisions of said Section 1776 may be deducted from any monies due or which may become due to the Contractor.
- C. Payrolls shall contain the full name, address and social security number of each employee, the correct work classification (including apprentices), rate of pay, daily and weekly number of hours worked, itemized deductions made and actual wages paid. The employee's address and social security number need only appear on the first payroll on which his name appears. The payroll shall be accompanied by a "Statement of Compliance" signed by the employer or employer's agent indicating that the payrolls are correct and complete and that the wage rates contained therein are not less than those required by the Agreement. The "Statement of Compliance" shall be on forms furnished by the Department or on any form with identical wording. The Contractor shall be responsible for the submission of copies of payrolls of all subcontractors.
- D. The Contractor and each Subcontractor shall preserve their payroll records for a period of three (3) years from the date of completion of the Agreement.
- E. The Contractor shall submit a certified copy of all payroll records for verification by the Department's Contract Manager and/or Designee with the Contractor's invoices within ten (10) days, not including Saturdays, Sundays or legal holidays, following completion of the work to the Contract Manager. When progress payments are called for, the Contractor shall submit a certified copy of all payroll records for verification for the work completed to date along with their invoices for verification by the District Labor Compliance Office. Delinquent payrolls or other required documents will cause payment to be withheld pending receipt of such documents.

10. Penalty

A. The Contractor and any Subcontractor under the Contractor shall comply with Labor Code Sections 1774 and 1775. In accordance with said Section 1775, the Contractor shall forfeit, as a penalty to the Department, not more than fifty dollars (\$50) for each calendar day, or portion thereof, for each worker paid less than the prevailing rates for such work or craft in which such worker is employed for any public work done under the contract by him or her, or by any subcontractor under

him/her, in violation of the provisions of the Labor Code and, in particular, Labor Code Sections 1775 to 1780, inclusively.

- B. The amount of this forfeiture shall be determined by the Labor Commissioner and shall be based on consideration of the mistake, inadvertence, or neglect of the Contractor or Subcontractor in failing to pay the correct rate of prevailing wages, or the previous record of the Contractor or Subcontractor in meeting his or her prevailing wage obligations, or a Contractor's willful failure to pay the correct rates of prevailing wages. A mistake, inadvertence, or neglect in failing to pay the correct rate of prevailing wages is not excusable if the Contractor or Subcontractor had knowledge of the obligations under the Labor Code. In addition, to the penalty and pursuant to Labor Code Section 1775, the difference between the prevailing wage rates and the amount paid to each worker for each calendar day, or portion thereof, for which each worker was paid less than the prevailing wage rate shall be paid to each worker by the Contractor or Subcontractor.
- C. If a worker employed by a Subcontractor on a public works project is not paid the general prevailing per diem wages by the Subcontractor, the prime Contractor of the project is not liable for any penalties described above, unless the prime Contractor had knowledge of that failure of the Subcontractor to pay the specified prevailing rate of wages to those workers or unless the prime Contractor fails to comply with all of the following requirements:
 - 1.) The Agreement executed between the Contractor and the Subcontractor for the performance of work on the public works project shall include a copy of the provisions of Sections 1771, 1775, 1775.5, 1776, 1813 and 1815 of the Labor Code.
 - 2.) The Contractor shall monitor the payment of the specified general prevailing rate of per diem wages by the Subcontractor to the employees by periodic review of the certified payroll records of the subcontractor.
 - 3.) Upon becoming aware of the failure of the Subcontractor to pay his or her workers the specific prevailing rate of wage, the Contractor shall diligently take corrective action to halt or rectify the failure, including, but not limited, to retaining sufficient funds due the Subcontractor for work performed on the public works project.
 - 4.) Prior to making final payment to the Subcontractor for work performed on the public works project, the Contractor shall obtain an affidavit signed under penalty of perjury for the Subcontractor that the Subcontractor has paid the specified general prevailing rate of per diem wages to his or her employees on the public works project and any amounts due pursuant to Section 1813.

- D. Pursuant to Section 1775 of the Labor Code, the Department shall notify the Contractor on a public works project within 15 days of receipt of a complaint that a Subcontractor has failed to pay workers the general prevailing rate of per diem wages.
- E. If the Department determines that employees of a Subcontractor were not paid the general prevailing rate of per diem wages and if the Department did not retain sufficient money under the contract to pay those employees the balance of wages owed under the general prevailing rate of per diem wages, the Contractor shall withhold an amount of moneys due the Subcontractor sufficient to pay those employees the general prevailing rate of per diem wages if requested by the Department.
- F. The Contractor shall pay any money retained from and owed to a Subcontractor upon receipt of notification by the Department that the wage complaint has been resolved. If notice of the resolution of the wage complaint has not been received by the Contractor within 180 days of the filing of a valid notice of completion or acceptance of the public works project, whichever occurs later, the Contractor shall pay all moneys retained from the Subcontractor to the Department. The moneys shall be retained by the Department pending the final decision of an enforcement action.
- G. Any subcontract entered into as a result of this Agreement shall contain all of the provisions of this clause.

11. State General Prevailing Wage Rates

- A. The attention of the Contractor is directed to, and the Contractor agrees to comply with all of the applicable provisions of the Labor Code including those provisions requiring the payment of not less than the general prevailing rate of wages. The Contractor further agrees to the penalties and forfeitures provided in said Code in the event a violation of any of the provisions occurs in the execution of this Agreement.
- B. Pursuant to Section 1771.5 of the Labor Code, not less than the general prevailing wage rate of per diem wages and the general prevailing rate of per diem wages for holiday and overtime work for work of a similar character in the county in which the work is to be performed shall be paid to all workers employed on this Agreement, if this Agreement is for:
 - 1.) More than \$25,000 for public works construction or,

- 2.) More than \$15,000 for the alteration, demolition, installation, repair, or maintenance of public works.
- C Any subcontract entered into as a result of this Agreement shall contain all of the provisions of this clause.

12. State Prevailing Wage Rate Determinations

- A. The General Prevailing Wage Rate Determinations applicable to the project are available and on file with the Department's Regional/District Labor Compliance Office. These wage rate determinations are made a specific part of this contract by reference pursuant to Labor Code Section 1773.2. Any special wage rate determinations applicable to this project are attached.
- B. General Prevailing Wage Rate Determinations applicable to this project may also be obtained from the Department of Industrial Relations Internet site at: http://www.dir.ca.gov/
- C. After award of the Agreement, and prior to commencing work, all applicable General Prevailing Wage Rate Determinations are to be obtained by the Contractor from the Department's District/Regional Labor Compliance Officer. These wage rate determinations are to be posted by the Contractor at the job site in accordance with Section 1773.2 of the California Labor Code.
- D. Questions pertaining to predetermined wage rates should be directed to the Department of Industrial Relations, Division of Labor Statistics and Research, P. O. Box 420603, San Francisco, CA 94142-0603, (415) 972-8628.

13. Hours of Labor

- A. Eight (8) hours labor constitutes a legal day's work. The Contractor shall forfeit, as a penalty to the State of California, twenty-five dollars (\$25.00) for each worker employed in the execution of the Agreement by the Contractor or any Subcontractor under the Contractor for each calendar day during which such worker is required or permitted to work more than eight (8) hours in any one calendar day and 40 hours in any one calendar week in violation of the provisions of the Labor Code, and in particular Sections 1810 to 1815 thereof, inclusive, except that work performed by employees in excess of eight (8) hours per day, and forty (40) hours during any one week, shall be permitted upon compensation for all hours worked in excess of eight (8) hours per day and forty (40) hours in any week, at not less than one and one-half times the basic rate of pay, as provided in Section 1815.
- B. Any subcontract entered into as a result of this Agreement shall contain all of the provisions of this clause.

Contractor's Name Agreement Number 02a0630 Page 9 of 9

EXHIBIT B Standard Agreement

Contractor's Name Agreement Number 02a0630 Page 1 of 1

EXHIBIT C Standard Agreement

SPECIAL TERMS AND CONDITIONS

1. Settlement of Disputes

- A. Any dispute concerning a question of fact arising under this Agreement that is not disposed of by agreement shall be decided by the Department's Contract Officer, who may consider any written or verbal evidence submitted by the contractor. The decision of the Contract Officer, issued in writing, shall be conclusive and binding on both parties to the Agreement on all questions of fact considered and determined by the Contract Officer.
- B. Neither the pendency of a dispute nor its consideration by the Contract Officer will excuse the contractor from full and timely performance in accordance with the terms of the Agreement.

2. Subcontractors

- A. Nothing contained in this Agreement or otherwise, shall create any contractual relation between the State and any subcontractors, and no subcontract shall relieve the Contractor of his/her responsibilities and obligations hereunder. The Contractor agrees to be as fully responsible to the State for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Contractor. The Contractor's obligation to pay its subcontractors is an independent obligation from the State's obligation to make payments to the Contractor. As a result, the State shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor.
- B. The contractor shall perform the work contemplated with resources available within its own organization and no portion of the work shall be subcontracted without written authorization by the State's Contract Manager.
- C. Any subcontract in excess of \$25,000, entered into as a result of this Agreement, shall contain all the provisions stipulated in this Agreement to be applicable to subcontractors.
- D. Any substitution of subcontractors must be approved in writing by the State's Contract Manager in advance of assigning work to a substitute subcontractor.

3. Termination

- A. The Department of Transportation reserves the right to terminate this Agreement without cause upon thirty (30) days written notice to the Contractor or immediately in the event of material breach by the Contractor.
- B. In the event that the total contract amount is expended prior to the expiration date, the State may, at its discretion, terminate this contract with 30 days notice to contractor.

4. Employment of Apprentices

- A. Attention is directed to the provisions in Section 1777.5, 1777.6 and 1777.7 of the Labor Code concerning the employment of apprentices by the Contractor or any subcontractor under him/her. (Section 1777.5 shall not apply to contracts of general contractors or to contracts of specialty contractors not bidding/proposing work through a general or prime contractor, involving less than 20 working days or \$30,000.)
- B. The Contractor and any subcontractors under him/her shall comply with the requirements of 1777.6 and, where applicable, of 1777.5 in the employment of apprentices.
- C. To insure compliance and complete understanding of the law regarding apprentices, and specifically the required ratio thereunder, each contractor or subcontractor should, where some question exists, contact the State Division of Apprenticeship Standards, P. O. Box 420603, San Francisco, California 94142-0603, or one of its branch offices, prior to commencement of work on the public works contract. Responsibility for compliance with Section 1777.5 lies with the prime Contractor. Penalties are specified in Labor Code Section 1777.7.
- D. Any subcontract entered into as a result of this contract shall contain all of the provisions of this article.

5. Retention of Records/Audits

A. For the purpose of determining compliance with Public Contract Code Section 10115, et. seq. and Title 21, California Code of Regulations, Chapter 21, Section 2500 et. seq., when applicable, and other matters connected with the performance of the Agreement pursuant to Government Code Section 8546.7, the Contractor, subcontractors and the State shall maintain all books, documents, papers, accounting records, and other evidence pertaining to the performance of the Agreement, including but not limited to, the costs of administering the Agreement. All parties shall make such materials available at their respective offices at all reasonable times during the

Agreement period and for three years from the date of final payment under the Agreement. The State, the State Auditor, FHWA, or any duly authorized representative of the Federal government having jurisdiction under Federal laws or regulations (including the basis of Federal funding in whole or in part) shall have access to any books, records, and documents of the Contractor that are pertinent to the Agreement for audits, examinations, excerpts, and transactions, and copies thereof shall be furnished if requested.

B. Any subcontract entered into as a result of this Agreement shall contain all the provisions of this article.

6. Default

If, after award and execution of the Agreement, the contractor defaults, the Agreement may be terminated for non-satisfactory performance. Contractor may be assessed damages in the amount of \$500 for administrative costs. Additionally, the contractor may be liable to the State for the difference between the contractor's original bid price and the actual cost of performing the work by the second low bidder or by another contractor.

7. Non-Solicitation

The contractor warrants, by execution of this Agreement, that no person or selling agency has been employed or retained to solicit or secure this Agreement upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except bona fide employees or bona fide established commercial or selling agencies maintained or contracted by the contractor for the purpose of securing business. For breach or violation of this warranty, the state shall have the right to annul this Agreement without liability, paying only for the value of the work actually performed, or in its discretion, to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such commission, percentage, brokerage or contingent fee.

8. Disabled Veterans Business Enterprise (DVBE) Participation (Without Goals)

The State has established no goals for the participation of DVBE for this contract. However, the Contractor shall be fully informed respecting the California Public Contract Code Section 10115 et seq., which is incorporated by reference. Contractor is urged to obtain DVBE subcontractor participation should clearly defined portions of the work become available.

9. Contractor's Priority Hiring Considerations

The Contractor shall give priority consideration in filling vacancies in positions funded by this Agreement to qualified recipients of aid under Chapter 2 commencing with Section 11200 of the Welfare and Institutions Code, in accordance with Article 3.9 commencing with Section 11349 of the Welfare and Institutions Code.

10. Laws to be Observed

The contractor shall keep fully informed of all existing and future state and federal laws and county, and municipal ordinances and regulations which in any manner affect those engaged or employed in the work, the materials used in the work, or which in any way affect the conduct of the work, and of all such orders and decrees of bodies or tribunals having any jurisdiction or authority over the same. Contractor shall at all times observe and comply with, and shall cause all agents and employees to observe and comply with all such existing and future laws, ordinances, regulations, orders, and decrees of bodies or tribunals having any jurisdiction or authority over the work. The contractor shall protect and indemnify the State of California and all officers and employees thereof connected with the work against any claim, injury, or liability arising from or based on the violation of any such law, ordinance, regulation, order, or decree, whether by the contractor, a subcontractor, or an employee. If any discrepancy or inconsistency is discovered in the plans, drawings, specification, or Agreement for the work in relation to any such law, ordinance, regulation, order, or decree, the contractor shall immediately report the same to the contract manager in writing.

11. Specific Statutory Reference

Any reference to certain statutes in this Agreement shall not relieve the Contractor from the responsibility of complying with all other statutes applicable to the service, work, or rental to be furnished thereunder.

12. Equipment Indemnification

- A. The contractor shall indemnify the state against all loss and damage to the contractor's property or equipment during its use under this Agreement and shall at the contractor's own expense maintain such fire, theft, liability or other insurance as deemed necessary for this protection. The contractor assumes all responsibility which may be imposed by law for property damage or personal injuries caused by defective equipment furnished under this Agreement or by operations of the contractor or the contractor's employees under this Agreement.
- C. Any subcontract entered into as a result of this Agreement shall contain all of the provisions of this article.

1. Liability Insurance Provisions

- A. Contractor is responsible for any deductible or self-insured retention contained within the insurance program.
- B. The Insurance policy shall contain a provision that states that coverage will not be cancelled without 30 days prior written notice to the State.
- C. Coverage must be in force for the complete term of this Agreement. If insurance expires during the term of this Agreement, a new certificate must be received by the State at least ten (10) days prior to the expiration of this insurance. This new insurance must still meet the terms of this Agreement.
- D. In the event contractor fails to keep in effect at all times the specified insurance coverage, the State may, in addition to any other remedies it may have, terminate this Agreement upon the occurrence of such event, subject to the provisions of the Agreement.
- E. Any insurance required to be carried shall be primary, and not excess, to any other insurance carried by the State.
- F. The State will not be responsible for any premiums or assessments on the policy.

1. Commercial General Liability

- a.) Contractor shall maintain general liability with limits of not less than \$1,000,000 per occurrence for bodily injury and property damage liability combined. The policy shall include coverage for liabilities arising out of premises, operations, independent contractors, products and completed operations, personal and advertising injury, and liability assumed under an insured Agreement. This insurance shall apply separately to each insured against whom claim is made or suit is brought subject to the contractor's limit of liability.
- b.) The State of California, its officers, agents, employees, and servants shall be included as additional insured, but only with respect to work performed for the State of California under this Agreement. The insurance carrier should provide an endorsement for the additional insured statement.
- 2. Workers' Compensation/Employer's Liability
 Contractor shall maintain statutory workers' compensation and employer's
 liability coverage for all its employees who will be engaged in the performance of
 the Agreement, including special coverage extensions where applicable.
 Employer's liability limits of \$1,000,000 shall be required.

2. License Requirements

The Contractor shall be properly licensed in accordance with the laws of the State of California and shall possess a Class B General Building and/or applicable license.

3. Licensed Contractor Standards for Quality of Work

- A. Licensed contractors must observe professional standards for quality of work or the California Contractors State License Board will invoke disciplinary action.
- B. Notice is hereby given that certain actions by a Contractor, including, but not limited to the following, constitute grounds for disciplinary action once the State has notified the license board of all violations:
 - A willful departure from plans and specifications or disregard of trade standards for good and workmanlike construction in any material respect that might prejudice the Department of Transportation, owner of the property upon which you perform work (Bus. & Prof. Code, 7109).
 - 2. The failure to observe and comply with all of the applicable labor laws (Bus. & Prof. Code 7110).
 - 3. Material failure to complete this Agreement (Bus. & Prof. Code 7113).
- C. Should the State determine that the work or materials provided vary materially from the specifications, or, that defective work when completed was not performed in a workmanlike manner, then the Contractor warrants that he/she shall perform all necessary repairs, replacement and corrections needed to restore the property according to the Agreement plans and specifications, all at no further or additional cost to the State.

TASK ORDER AGREEMENT NO. <u>02A0630</u>

Person Requesting Service and Unit Number:						
Request Date:						
Contract Manager's Approval:						
	WORK AND TYPE OF SERVICE:	_				
		_				
II. PERI	OD OF PERFORMANCE:					
Work under this Task Order shall begin on _	and terminate on	_•				
NO TASK ORDER SHAL	LL BE FOR MORE THAN 6 MONTHS.					
III.	COST ESTIMATE:					
\$						
IV.	SIGNATURES					
	of California, Department of Transportation, and	Ву				
	at all terms and conditions of this Task Order and	Jy				
STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION	CONTRACTOR					
Ву	By(Name and Title)					
(Name and Title)	(Name and Title)					

ATTACHMENT 2

MAP INDEX	MAINTENANCE FACILITY	COUNTY	ROUTE	POST MILE
1.	Dunsmuir Truck Inspect	Siskiyou	5	7.7
2.	Cottonwood Truck Inspect	Tehama	5	34

MAP INDEX	SAFETY ROADSIDE REST	COUNTY	ROUTE	POST MILE
3.	Corning	Tehama	5	10.3
4.	Lakehead	Shasta	5	43.2
5.	O'Brien	Shasta	5	31.1
6.	Miles	Tehama	5	33/34